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# Ground motion modelling for seismic hazard assessment

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&

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## the road to earthquake safety...

Know the input - Bound the output...



Mitigate the difference...

Any strategy for **seismic risk reduction** should be outlined trying to answer two basic questions:

When, where and how big we have to expect a strong earthquake to strike a region?

### What should we expect when it occurs?

The answer to the first question is matter for earthquake prediction, while the second one is matter for seismic hazard assessment...

# Outline

### Some remarks on SHA

SHA & PBDE Source & site effects in SHA Demand parameters Definition of seismic input

### Seismic input for a critical facility

Parametric studies Focal mechanism Site effects Directivity

## SHA dualism

	Deterministic			Probabilistic
Risk mitigation decision	Emergency response			Design/Retrofit
Seismic environment	Next to active fault	High hazard, plate margin	Moderate hazard, anywhere	Low hazard, midplate
Scope of the project	Regional risk		Multiple properties lifelines	Specific site
	Qualitative			Quantitative

Modified from: Mc Guire, 2001

## SHA Dualism

Deterministic vs. probabilistic approaches to assessing earthquake hazards and risks have differences, advantages, and disadvantages that often make the use of one advantageous over the other.

Probabilistic methods can be viewed inclusive of all deterministic events with a finite probability of occurrence. In this context, proper deterministic methods that focus on a single earthquake ensure that that event is realistic, i.e. that it has a finite probability of occurrence.

Determinism vs. probabilism is not a bivariate choice but a continuum in which both analyses are conducted, but more emphasis is given to one over the other. Emphasis here means weight in the decision-making process...

Modified from: Mc Guire, 2001

## PBDE

SHA produces response spectral ordinates (or other intensity measures) for each of the annual probabilities that are specified for performance-based design.

In PBDE, the ground motions may need to be specified not only as intensity measures such as response spectra, but also by suites of strong motion time histories for input into time-domain nonlinear analyses of structures.

It is necessary to use a suite of time histories having phasing and spectral shapes that are appropriate for the characteristics of the earthquake source, wave propagation path, and site conditions that control the design spectrum.

### Modern PSHA & DSHA dualism

PSHA

## Waveform modelling

Accounts for all potentially damaging earthquakes in a region

Focus on selected controlling earthquakes



Single parameter

Complete time series

Deeply rooted in engineering practice (e.g. building codes)

Dynamic analyses of critical facilities

Deaggregation, recursive analysis



Study of attenuation relationships

Introduction - SHA



In many applications a **recursive analysis**, where deterministic interpretations are triggered by probabilistic results and vice versa, will give the greatest insight and allow the most informed decisions to be made.





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## Important issues in SRE

Near surface effects: impedance contrast, velocity

geological maps, v<sub>30</sub>, v<sub>1/4</sub>, ??

#### **Basin effects**

Basin-edge induced waves

Subsurface focusing

## Important issues in SRE



## Important issues in SRE



## SRE and SHA

Amplification patterns may vary greatly among

the earthquake scenarios, considering different source locations (and rupture ...)

Peak Velocity Amplification from the 3D Simulations of Olsen (2000)



SCEC Phase 3 Report

## SRE and SHA

Amplification patterns may vary greatly among

the earthquake scenarios, considering different source locations (and rupture ...)

SCEC Phase 3 Report

The convolutional model is sometimes artificial (e.g. fault rupturing along the edge of a deep basin)

## SRE and SHA

In SHA the site effect should be defined as the **average behavior**, relative to other sites, given **all** potentially damaging earthquakes

This produces an intrinsic variability with respect to different earthquake locations, that cannot exceed the difference between sites

Site characterization:

which velocity?

use of basin depth effect? Is it a proxy for backazimuth distance?

how to reduce aleatoric uncertainty?

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## Fling permanent tectonic deformation related to near field effect ("killer pulse")



Ground acceleration, velocity and displacement, recorded at a strong-motion seismometer that was located directly above the part of a fault that ruptured during the 1985 Mw = 8.1, Michoacan, Mexico earthquake.

### Static near-field term from a finite fault

near field term (Stokes, 1848) + dislocation theory (Chinnery, 1961)



dip=45°, rake=0°, H=6, L=10,W=8

### Static near-field term from a finite fault

#### near field term (Stokes, 1848) + dislocation theory (Chinnery, 1961)



Figure 7. (a) Velocities and (b) displacements of the fault-parallel components at 12 observation points in Figure 6, using the first (dynamic; left), second (static; center), and total (right) integrations of equation (11).

#### +directivity (Hisada&Bielak, 2003)

# Directivity (near fault)



# Directivity (near fault)

Particularly, in the case of **forward rupture directivity** most of the energy arrives in a single large pulse of motion which may give rise to particularly severe ground motion at sites toward which the fracture propagation progresses.

it involves the transmission of large energy amounts to the structures in a very short time.

These shaking descriptors, strictly linked with energy demands, are relevant (even more than acceleration), especially when dealing with seismic isolation and passive energy dissipation in buildings.



### regression example...









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## **Demand parameters**

#### DAMAGE POTENTIAL OF EARTHQUAKE GROUND MOTION

A demand parameter is defined as a quantity that relates seismic input (ground motion) to structural response

Damage depends on intensity of the various earthquake hazard parameters: ground motion accelerations levels, frequency content of the waves arriving at the site, duration of strong ground motion, etc.

Damage also depends on the earthquake resistance characteristics of the structure, such as its lateral force-resisting system, dynamic properties, dissipation capacity, etc. PGA...





### **RESPONSE SPECTRA**

A response spectrum is a plot of maximum response (e.g. displacement, velocity, acceleration) of SDF systems to a given ground acceleration versus systems parameters ( $T_n$ ,  $\xi$ ).

**Example** : Deformation response spectrum for El Centro earthquake



**Deformation**, **pseudo-velocity** and **pseudo-acceleration** response spectra can be defined and plotted on the same graphs

Peak Deformation	$D = \max  u(t) $
Peak Pseudo- velocity	$V = \omega_n D$
Peak Pseudo-acceleration	$A = \omega_n^2 D$

ω<sub>n</sub> : natural circular frequency of the SDF system.



#### EXAMPLE

A water tank is subjected to the El Centro earthquake. Calculate the maximum bending moment during the earthquake.



$$\omega_n = \sqrt{\frac{n}{m}} = 3.14 \text{ rad/s} \rightarrow T_n = \frac{2n}{\omega_n} = 2 \text{ s}$$

Spectrum  $\rightarrow \begin{cases} D = 7.47 \cdot 25.4 = 190 \text{ mm} \\ A = 0.191 \cdot 9.81 = 1.87 \text{ ms}^{-2} \end{cases}$ 

$$(\text{ obs : } A = \omega_n^2 D)$$







When the equivalent static force has been determined, the internal forces and stresses can be determined using statics.




The effective peak acceleration EPA is defined as the average spectral acceleration over the period range 0.1 to 0.5 s divided by 2.5 (the standard amplification factor for a 5% damping spectrum), as follows:

$$EPA = \frac{\overline{S}_{pa}}{2.5}$$

where  $\overline{S}_{pa}$  is mean pseudo-acceleration value. The empirical constant 2.5 is essentially an amplification factor of the response spectrum obtained from real peak value records.

EPA is correlated with the real peak value, but not equal to nor even proportional to it. If the ground motion consists of high f requency components, EPA will be obviously smaller than the real peak value.

It represents the acceleration which is most closely rel ated to the structural response and to the damage potential of an earthquake. The EPA values for the two records of Ancona and Sylmar stations a re 205 cm/s<sup>2</sup> and 774 cm/s<sup>2</sup> respectively, and describe in a more appropriate way, than PGA values, the damage caused by the two earthquakes.

## Duration

The bracketed duration is defined as the time bet ween the first and the last exceedances of a threshold acceleration (usually .05g).

Among the different duration definitions that can be found in the literature, one commonly used is that proposed by Trifunac e Brady (1975):

$$t_{\rm D} = t_{0.95} - t_{0.05}$$

where  $t_{0.05}$  and  $t_{0.95}$  are the time at which respectively the 5% and 95%, of the time integral of the hi story of squared accelerations are reached, which corresponds to the time interval b etween the points at which 5% and 95% of the total energy has been recorded.

# Arias intensity

The Arias Intensity (Arias, 1969),  $I_A$ , is defined as follows:



where  $t_t$  and  $a_g$  are the to tal duration and ground acceleration of a ground motion record, respectively.

The Arias intensity has units of velocity.  $I_A$  represents the sum of the total energies, per unit mass, stored, at the end of the earthquake ground motion, in a population of undamped linear oscillators.

Arias Intensity, which is a measure of the global energy transmitted to an elastic system, tends to overestimate the intensity of an earthquake with long duration, high acceleration and broad band frequency content. Since it is obtained by integration over the entire duration rather than over the duration of strong motion, its value is independent of the method used to define the duration of strong motion.

### Housner intensity

Housner (1952) defined a measure expressing the relative severity of earthquakes in terms of the area under the pseudo-velocity spectrum between 0.1 and 2.5 seconds. Housner's spectral intensity  $I_H$  is defined as:

$$I_{H} = \int_{0.1}^{2.5} S_{pv}(T,\xi) dT = \frac{1}{2\pi} \int_{0.1}^{2.5} S_{pa}(T,\xi) T dT$$

where  $S_{pv}$  is the pseudo-velocity at the undamped natural period T and damping ratio  $\xi$ , and  $S_{pa}$  is the pseudo-acceleration at the undamped natural period T and damping ratio  $\xi$ .

Housner's spectral intensity is the first moment of the area of  $S_{pa}$  (0.1<T<2.5) about the  $S_{pa}$  axis, implying that the Housner spectral intensity is larger f or ground motions with a significant amount of low frequency content.

The  $I_H$  parameter captures important aspects of the amplitude and frequency content in a single parameter, ho wever, it does not provide information on the strong motion duration which is important for a structural system experiencing inelastic behaviour and yielding reversals.

# Destructiveness potential

Araya & Sa ragoni (1984) proposed the destructiveness potential factor, P  $_D$ , that considers both the Arias Intensity and the rate of zero crossings,  $v_0$  and agrees with the observed damage better than other parameters. The destructiveness potential factor, which simultaneously considers the effect of the ground motion amplitude, strong motion duration, and frequency content on the relative destructiveness of different ground motion records, is defined as:

$$P_{\rm D} = \frac{\pi}{2g} \frac{\int_0^{t_0} a_{\rm g}^2(t) dt}{v_0^2} = \frac{I_{\rm A}}{v_0^2} \qquad \qquad v_0 = \frac{N_0}{t_0}$$

where t is the time,  $a_g$  is the ground acceleration,  $v_0 = N_0/t_0$  is the number of zero crossings of the acceleration time history per unit of time,  $N_0$  is the number of the crossings with the time axis,  $t_0$  is the total duration of the examined record (sometimes it could be a particular time-window), and  $I_A$  is the Arias intensity.



# Yielding resistance

Linear elastic response s pectra recommended by seismic codes have been proved to be inadequate by recent seismic events, as they are not directly related to structural damage. Extremely important factors such as the duration of the strong ground motion and the sequence of acceleration pulses are not taken into account adequately.

Therefore response parameters based on the inelastic behaviour of a structure should be considered with the ground motion characteristics.

In current seismic regulations, the displacement ductility ratio  $\mu$  is generally used to reduce the elastic design forces to a leve 1 which implicitly considers the possibility that a certain degree of inelastic deformations could occur. To this purpose, employing numerical methods, constant ductility response spectra were derived through non-linear dynamic analyses of viscously damped SDOF systems by defining the following two parameters:

$$C_{y} = \frac{R_{y}}{mg} \eta = \frac{R_{y}}{m\ddot{u}_{g(max)}} = \frac{C_{y}}{\ddot{u}_{g(max)}/g}$$

where  $R_y$  is the yielding resistance, m is the mass of the system, and  $\ddot{u}_{g(max)}$  is the maximum ground acceleration.



# Yielding resistance 2

The parameter  $C_y$  represents the structure's yielding seismic resistance coefficient and  $\eta$  expresses a system's yield strength relative to the maximum inertia force of an infinitely rigid system and reveals the st rength of the system as a fraction of its weight relative to the peak ground acceleration expressed as a fraction of gravity. Traditionally, displacement ductility was used as the main parameter to measure the degree of damage sustained by a structure.

One significant disadvantage of seismic resistance  $(C_y)$  spectra is that the effect of strong motion duration is not considered. An example of constant ductility  $C_y$  spectra, corresponding to the 1986 San Salvador earthquake (CIG record) and 1985 Chile earthquake (Llolleo record): it seems that the da mage potential of these ground motions is quite similar, even though the CIG and Llolleo are r ecords of t wo earthquakes with very different magnitude, 5.4 and 7.8, respectively.



# Input energy

Introduction of appropriate parameters defined in terms of energy can lead to more reliable estimates, since, more than others, the concept of e nergy provides tools which allow to account rationally for the mechanisms of generation, transmission and destructiveness of seismic actions.

Energy-based parameters, allowing us to characterize properly the different types of time histories (impulsive, peri odic with long durations pulses, etc.) which may correspond to an earthquake, could provid e more insight into the seismic performance.

The most promising is the Earthquake Input Energy ( $E_I$ ) and associate parameters (the damping energy  $E_g$  and the plast ic hysteretic energy  $E_H$ ) introduced by Uang & Bertero (1990). This parameter considers the inelastic behavior of a str uctural system and depends on the dynamic features of both the strong motion and the structure.

The formulation of the energy parameters derives from the following balance energy equation (Uang & Bertero, 1990):

$$E_{I} = E_{k} + E_{\xi} + E_{s} + E_{H}$$

where ( $E_I$ ) is the input energy, ( $E_k$ ) is the kinetic energy, ( $E_\xi$ ) is the damping energy, ( $E_s$ ) is the elastic strain energy, and ( $E_H$ ) is the hysteretic energy.

# Input energy

 $E_I$  represents the work done by the total base shear at the foundation displacement. The input energy can be expressed by:

$$\frac{E_I}{m} = \int \ddot{u}_t du_g = \int \ddot{u}_t \dot{u}_g dt$$

where m is the mass,  $u_t = u + u_g$  is the absolute displacement of the mass, and  $u_g$  is the earthquake ground displacement. Usually the input energy per unit mass, i.e.  $E_I/m$ , is simply denoted as  $E_I$ .



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# know the input...

A proper definition of the seismic input for PBD at a given site can be done following two main approaches:

The first approach is based on the analysis of the available

#### strong motion databases,

collected by existing seismic networks, and on the grouping of those accelerograms that contain similar source, path and site effects The second approach is based on **modelling techniques**, developed from the knowledge of the seismic source process and of the propagation of seismic waves, that can realistically simulate the ground motion

# ... to bound the output!

#### Time histories selection

They are used to extract a measure, representing adequately:

- Magnitude, distance
- Source characteristics (fling, directivity)
- Path effects (attenuation, regional heterogeneities)
- Site effects (amplification, duration)

The groundshaking scenarios have to be based on significant ground motion parameters (e.g. velocity and displacement).

# Validation

The ideal procedure is to follow the two complementary ways, in order to **validate** the numerical modelling with the available recordings.

Validation and calibration should consider intensity measures (PGA, PGV, PGD, SA, etc.) as well as other characteristics (e.g. duration).

The misfits can be due to variability in the physical (e.g. point-source) and/or the parameters models adopted.

# Prediction

The result of a simulation procedure should be a set of intensity estimates, as the result of a parametric study for different "events" and/or for different model parameters

The modeling variability, estimated through validation, can be associated to "models" or "parameters"

Epistemic	Modeling (point source, 1D-2D-3D)	Parametric (incomplete data)	
Aleatory	Modeling (scattering, rupture)	Parametric (rupture)	

e.g. Stewart et al., 2001

# Parameters extraction

Particularly, in the case of **forward rupture directivity** most of the energy arrives in a single large pulse of motion which may give rise to particularly severe ground motion at sites toward which the fracture propagation progresses.

it involves the transmission of large energy amounts to the structures in a very short time.

These shaking descriptors, strictly linked with energy demands, are relevant (even more than acceleration), especially when dealing with seismic isolation and passive energy dissipation in buildings.

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### VAB Project (EC)

ADVANCED METHODS FOR ASSESSING THE SEISMIC VULNERABILITY OF EXISTING MOTORWAY BRIDGES

ARSENAL RESEARCH, Vienna, Austria; ISMES S.P.A,. Bergamo, Italy; ICTP, Trieste, Italy; UPORTO, Porto, Portugal; CIMNE, Barcelona, Spain; SETRA, Bagneaux, France; JRC-ISPRA, EU.

Effects on bridge seismic response of

asynchronous motion at the base of bridge piers

#### Warth bridge



The bridge was designed for a horizontal acceleration of 0,04 g using the quasi static method.

According to the new Austrian seismic code the bridge is situated in zone 4 with a horizontal design acceleration of about 0,1 g: a detailed seismic vulnerability assessment was necessary.



Case study

### Examples from EU project

Databank of geological, geophysical and seismotectonic data





### Initial regional model



EUR I data set



Definition of str. models

# Initial LHM – Warth bridge – model



# LHM – Warth bridge – model



Definition of str. models

# Hybrid method: MS-FD



Definition of seismic input

Initial synthesis - radial











# Outline

### Seismic input for a critical facility

Parametric studies

Focal mechanism

Site effects

Directivity

### PARAMETRIC STUDY 1 Focal Parameters towards MCE

All the focal mechanism parameters of the original source model have been varied in order to find the combination producing the maximum amplitude of the various ground motion components.

Longitude (°)	Latitude (°)	Focal Depth (km)	Strike (°)	Dip (°)	Rake (°)	Magnitude Ms (Mb)
16.120	47.730	18	190	70	324	5.5 (4.9)

- 1) Strike angle (Depth=5km)
- 2) Rake angle
- 3) Strike-Rake angles variation (Dip=45°)
- 4) Strike-Rake angles variation (Dip=70°)
- 5) Strike-Rake angles variation (Dip=90°)
- 6) Depth-Distance variation

(Strike=60°, Dip=70°, Rake=0, 90°)

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### PARAMETRIC STUDY 2 - Fp towards 1Hz

Another parametric study has been performed in order to find a seismic source-Warth site configuration providing a set of signals whose seismic energy is concentrated around 1 Hz, frequency that corresponds approximately to that of the fundamental transverse mode of oscillation of the bridge.


#### PARAMETRIC STUDY 2 - Fp towards 1Hz

Another parametric study has been performed in order to find a seismic source-Warth site configuration providing a set of signals whose seismic energy is concentrated around 1 Hz, frequency that corresponds approximately to that of the fundamental transverse mode of oscillation of the bridge.



The results show that, in order to reach a relevant value of PGA (e.g. greater than 0.1g) in the desired period range (i.e. 0.8–1.2 s), an alternative and suitable configuration is a source 12 km deep at an epicentral distance of 30 km.

#### Parametric study 2 - FS & RSR



The results show that, the local structure beneath the Warth bridge greatly amplifies the frequency components between 3 and 7 Hz, i.e. a frequency range not corresponding to the fundamental transverse mode of oscillation of the bridge (about 0.8 Hz)

Parametric study 2 - DD

## Outline



#### Seismic input for a critical facility

Parametric studies

Focal mechanism

Site effects

Directivity

#### Parametric study 3 – LMp towards 1Hz



Local geotechnical models of Warth bridge section obtained lowering successively the S-wave velocities of the uppermost units

Parametric study 3 – LM



Parametric study 3 – LM



Site response estimation M=5.5; d=8.6km; h=5km

M=6.5; d=30.0km; h=12km



Parametric study 3 – LM

#### Synthetic accelerations and diffograms



Case study



# Fourier AS of diffograms

#### Bedrock



Case study

## Implementation of PSD tests PSD WITH SUBSTRUCTURING

#### Application to the Warth Bridge, Austria



## Implementation of PSD tests







(a) physical piers in the lab, (b), schematic representation(c) workstations running the PSD algorithm and controlling the test





Force-displacement for Low-level earthquake experimental results Pier A40

Identification of insufficient seismic detailing. tall pier A40, buckling of longitudinal reinforcement at h = 3.5m



Damage pattern after the end of the High-Level Earthquake PSD test, short pier A70.

## Outline



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Rupture model: bilateral at 3 positions



Rupture model: bilateral at 3 positions



Rupture model: bilateral at 3 positions



Parametric study 4 – ES

Rupture model: bilateral at 3 positions



Rupture model: unilateral at 3 positions



Parametric study 4 - ES

Rupture model: unilateral at 3 positions



Rupture model: un. different  $v_r$  at 3 positions



Parametric study 4 – ES

Rupture model: un. different v at 3 positions

PGV - PGA and directivity



Parametric study 4 – ES

PGV - PGA and directivity



Parametric study 4 – ES



Parametric study 4 – ES

response spectra



Parametric study 4 – ES

response spectra

### References

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