Neutrinos and New physics world



A. Yu. Smirnov

International Centre for Theoretical Physics, Trieste, Italy Institute for Nuclear Research, RAS, Moscow, Russia





440 TOHMEROph

B. Pontecorvo

``Mesonium and antimesonium"

Zh. Eksp.Teor. Fiz. 33, 549 (1957) [Sov. Phys. JETP 6, 429 (1957)] translation

mentioned a possibility of neutrino mixing and oscillations

Results of Wu experiment, 1957: Parity violation → V-A theory, two-component massless neutrino



9



Normal mass hierarchy

$$v_{f} = U_{PMNS} v_{mass}$$
$$U_{PMNS} = U_{23} I_{\delta} U_{13} I_{-\delta} U_{12}$$



Inverted mass hierarchy



of studies of neutrino mass and mixing is essentially over

The main results:

Discovery of non-zero neutrino masses

Determination of the dominant structure of the lepton mixing: discovery of two large mixing angles

Establishing strong difference of the quark and lepton mass spectra and mixing patterns

New phase will start with new series of experiments in 2008 - 2010 the main objectives

- determination of the absolute scale of neutrino mass;
- subdominant structures of mixing matrix;
- identification of the mass hierarchy, etc.



Give explanation of statements above and discuss their possible implications

Part I: Determination of neutrino mass and mixing

- Effects
- Experiments
- Summary of results
- Leptons versus quarks

Part II: To interpretation of the results:

- Neutrinos and new physics

- Grand Unification & neutrino mass
- Quark-lepton complementarity
- Flavor symmetry tri-bimaximal mixing
- Extra dimensions





Flavor neutrino states:

Mass eigenstates









Normal mass hierarchy

$$\Delta m_{atm}^2 = \Delta m_{32}^2 = m_3^2 - m_2^2$$
$$\Delta m_{sun}^2 = \Delta m_{21}^2 = m_2^2 - m_1^2$$

Moduli of mixing elements are paremeterization independent

$$\tan^2 \theta_{12} = |U_{e2}|^2 / |U_{e1}|^2$$

$$\sin^2 \theta_{13} = |U_{e3}|^2$$

$$\tan^2\theta_{23} = |U_{\mu3}|^2 / |U_{\tau3}|^2$$

Rotation in 3D space

$$\label{eq:product} \begin{split} \textbf{Where} \quad \boldsymbol{\nu}_{f} &= \begin{pmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix} \quad \boldsymbol{\nu}_{mass} = \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{pmatrix} \end{split}$$

the matrix is unitary:
$$U_{PMNS}^{+} U_{PMNS} = I$$

Pontecorvo-Maki-Nakagawa-Sakata mixing matrix

$$U_{PMNS} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

 $U_{\alpha i} = |U_{\alpha i}| e^{i \phi_{\alpha i}}$

Due to unitarity and possibility to renormalize wave functions of neutrinos and charge leptons only one phase is physical

Two effects

Oscillations in vacuum and in matter Adiabatic conversion in matter MSW effect





oscillations:

effects of the phase difference increase which changes the interference pattern



- $V \sim 10^{-13} \text{ eV}$ inside the Earth for E = 10 MeV difference of potentials
- **Refraction index:**

optics

n - 1 = V / p

 $\blacksquare n-1 = \begin{cases} \sim 10^{-20} & \text{inside the Earth} \\ < 10^{-18} & \text{inside the Sun} \\ \sim 10^{-6} & \text{inside the neutron star} \end{cases}$

L. Wolfenstein, 1978

for $v_e v_\mu$ \mathcal{V}_{ρ}

$$V_e - V_\mu = \sqrt{2} G_F n_e$$

Refraction length:

$$l_0 = 2\pi / (V_e - V_\mu)$$
$$= \sqrt{2} \pi / G_F n_e$$

Neutrino ____ focusing of neutrinos fluxes by stars, complete internal reflection, etc



In uniform matter (constant density) mixing is constant $\theta_m(E, n) = constant$ Dynamics of propagation is the same as in vacuum -> oscillations



$$\Delta \varphi_m ~=~ 0$$





$$\Delta \phi_{\rm m} = ({\rm H}_2 - {\rm H}_1) \, {\rm L}$$

Parameters of oscillations (depth and length) are determined by mixing in matter and by effective energy split in matter



Adiabatic conversion



- Admixtures of the eigenstates do not change (adiabaticity)
- Flavors of the eigenstates follow the density change
- Phase difference of the eigenstates changes leading to oscillations

in th

Determined by mixing θ_m^0 in the production point Flavor: $\theta_m = \theta_m(\rho(t))$















Oscillations



Experiments and Results

Solar Neufines



conversion

electron neutrinos are produced

 $4p + 2e^{-} \rightarrow ^{4}He + 2v_{e} + 26.73 \text{ MeV}$

 $F = 6 \ 10^{10} \ cm^{-2} \ c^{-1}$

total flux at the Earth



 $\rho: (150 \Longrightarrow 0) \text{ g/cc}$

ν

Oscillations in matter of the Earth

Homestake Kamiokande SAGE









RETURNED FOR COOMIC RAY RESEARCH UNIVERSITY OF TOXYO

SUPERKAMIOKANDE

50 kt water Cherenkov detector

ACCEN STREET



Kamioka Large Anti-Neutrino Detector

Reactor long baseline experiment 150 - 210 km

$$\overline{\nu}_{e} + p \rightarrow e^{+} + n$$

E_{pr} > 2.6 MeV

Data: total rate energy spectrum of events

Vacuum oscillations

Detection of the Geo-neutrinos $E_{pr} > 1.3 \text{ MeV}$



1 kton of Liquid scintillator





Ibaraki Prefecture

SCIFI/Water target

> ct Water Cherenko Detector

Proton Synchrotron

Front Detector

Neutrino Beam





Main Injector Neutrino Oscillation Search

LBL: Fermilab - SOUDAN mine



Near detector (1km): 1 kton

Far detector (735 km) 5400 t, steel, sampling calorimeter

Beam: 120 GeV protons 2.5 10²⁰ p/year -> 1 - 10 GeV neutrinos

Vacuum oscillations



Graphical representation



Collective effects in neutrino gases → non-linear physics, Synchronized oscillations, bi-polar oscillations, etc. Applications: supernovae, early universe









A. Strumia, F. Vissani



Kinematic searches, cosmology

Both cosmology and double beta decay have similar sensitivities

p

*m_{ee}

p

e

e

MM

m

 $m_{ee} = \Sigma_k U_{ek}^2 m_k e^{i\phi(\kappa)}$

W

W

ν

n

n

Neutrinoless double beta decay

<u>Double beta decay</u>

 $^{76}Ge \rightarrow ^{76}Se + e + e$









Summary of results



- Type of mass spectrum: with Hierarchy, Ordering, Degeneracy absolute mass scale
- Type of the mass hierarchy: Normal, Inverted

$$\bullet U_{e3} = ?$$

More neutrino states?



M.C. Gonzalez-Garcia, M. Maltoni, Moriond, March 2007 (Phys. Rep.)

The angles 1σ (3 σ) $\theta_{12}^{\circ} = 33.7 + - 1.3 \begin{pmatrix} +4.3 \\ -3.5 \end{pmatrix}$ $\theta_{23}^{\circ} = 43.3 + 4.3 \\ -3.8 \begin{pmatrix} +9.8 \\ -8.8 \end{pmatrix}$ $\theta_{13}^{\circ} = 0.0 + 5.3 \\ -0.0 \begin{pmatrix} +11.5 \\ -0.0 \end{pmatrix}$

small shift from maximal mixing

b.f. value is zero; stronger upper bound

Mixing matrix (moduli of matrix elements)

$$U_{\text{PMNS}} = \begin{pmatrix} 0.81 - 0.85 & 0.53 - 0.58 & 0.00 - 0.12 \\ 0.32 - 0.49 & 0.52 - 0.69 & 0.60 - 0.76 \\ 0.27 - 0.46 & 0.47 - 0.64 & 0.65 - 0.80 \end{pmatrix}$$

90 % C.L.



 $\Delta m_{31}^2 = 2.6 + - 0.2 (0.6) \times 10^{-3} eV^2$

 $\Delta m_{21}^2 = 7.90 + 0.27 + 1.10 - 0.28 + 1.10 - 0.89 \times 10^{-5} eV^2$

<u>Global</u> oscillation fit:

the weakest mass hierarchy

$$|m_2/m_3| > 0.18$$

<u>Cosmology:</u>

$$\begin{array}{c} \Sigma_{i} \ m_{i} < 0.42 \ eV \ (95\% \ C.L. \) \\ \Sigma_{i} \ m_{i} < 0.17 \ eV \ (95\% \ C.L. \) \\ < 0.6 \ eV \end{array} \qquad \begin{array}{c} U. \ Seljak \ et \ al \\ \hline S. \ Hannestadt \\ \hline m \sim 0.05 \ - \ 0.10 \ eV \end{array}$$

Heidelberg-Moscow: $m_{ee} = 0.24 - 0.58 \text{ eV}$

if confirmed, other than light neutrino mass mechanism?

M. Gonzalez-Garcia.

M. Maltoni, 2007
"Standard" neutrino model

- 1. There are only 3 types of light neutrinos
- 2. Interactions are described by the Standard (electroweak) model
- 3. Masses and mixing have pure vacuum origin; they are generated at the EW and probably higher mass scales
 - = ``Hard" masses

Tests of these statements; Search for physics beyond



Large Scintillator Neutrino Detector Los Alamos Meson Physics Facility

200 t mineral

oil scintillator





Oscillations? ∆m² > 0.2 eV²

Beyond ``standard" picture:

- new sector,
- new symmetry





1-3 subsystem of levels is frozen





 $L = 541 \text{ m}, \langle E_v \rangle \sim 800 \text{ MeV}$





Two fundamental issues



Mixing

■ 1-2, θ₁₂

■ 2-3, θ₂₃

■ 1-3, θ₁₃

Quarks	Leptons
13°	34 °
2.3°	42 °
~ 0.5°	< 8º

Complementarity	
θ ₁₂ + θ _C = 46.7° +/- 2.4°	
$\theta_{23} + V_{cb} = 43.9^{\circ} + 5.1/-3.$. 6 °



Mass spectrum and mixing



Leptons

$$v_{f} = U_{PMNS} v_{mass}$$

 $U_{PMNS} = U_{23} I_{\delta} U_{13} U_{12}$



Quarks

$$U_d = U_{CKM}^+ U$$

U = (u, c, t)





at m_7

Koide relation ?



Neutrino mass is the first manifestation of physics beyond the Standard Model

to appreciate this statement

Standard Model of electroweak interactions the Glashow-Salam-Weinberg model - accomplished in 1967 - 1968

About 40 years of desperate searches of deviations from the SM – \rightarrow searches new physics

Abdus Salam developed various scenarios of physics beyond the SM:

- unification of quarks and leptons (quark- lepton symmetry)
- aspects of supersymmetry
- physics of extra dimensions



SM has some other problems that can not be resolved in the SM

- Three different couplings
- Gravity
- Scale of masses
- No dark matter candidate
- Baryon asymmetry in the universe
- inflation

Observed pattern of the quark masses and mixing also has no explanation in the SM.

Scale of neutrino mass

m = (0.04 - 0.10) eV

 $\frac{\frac{m_3}{m_{\tau}}}{\frac{m_{\tau}}{m_{\tau}}} \sim (0.3 - 1) \ 10^{-10}$



S. Weinberg

No new light particles → effective operator



 Λ is the scale of new physics



generates
Majorana mass $m_v = h < H >^2 / \Lambda$ $h \sim 1$
 $\Lambda \sim M_{Pl}$ $m_v \sim 10^{-5} eV$ Too small to explain data
Still can produce
observable effects $V \sim M_{Pl}$ $M_v \sim 10^{-5} eV$ $\Lambda \sim 10^{14} GeV$ enhanced effective
 $h \gg 1$?New scale of physics
GUT?



P. Minkowski T. Yanagida M. Gell-Mann, P. Ramond, R. Slansky S. L. Glashow R.N. Mohapatra, G. Senjanovic

Realization of the effective operator





The same mechanism explains large lepton mixing



25 orders of magnitude!

Mapping the high energy Physics on to low energy observables



Grand Unification





More complicated connection between guarks and leptons?

Universality is not excluded



generically

Provide with all the ingredients necessary for seesaw mechanism

- RH neutrino components
- Large mass scale
- Lepton number violation

Give relations between masses of leptons and quarks

 $m_{\rm b} = m_{\tau}$

In general: ``sum rules"





But - no explanation of the flavor structure

$$\begin{array}{c}
\theta_{12} + \theta_{12} \sim \pi/4
\end{array}$$

$$\begin{array}{c}
\theta_{23} + V_{cb} = 43.9^{\circ} + 5.1/-3.6^{\circ}
\end{array}$$

Difficult to expects exact equalities but qualitatively

 $+ \theta_{23} \sim$

0'23

2-3 leptonic mixing is close to maximal because 2-3 quark mixing is small

兀/4

 1-2 leptonic mixing deviates from maximal substantially because
 1-2 quark mixing is relatively large H. Minakata, A.S. Phys. Rev. D70: 073009 (2004) [hep-ph/0405088]





`Lepton mixing = bi-maximal mixing - quark mixing"

Quark-lepton symmetry

Existence of structure which produces bi-maximal mixing

See-saw? Properties of the RH neutrinos

In the lowest approximation:

$$V_{quarks} = I, V_{leptons} = V_{bm}$$

 $m_1 = m_2 = 0$



F. Vissani V. Barger et al







Can both features be accidental?



Neutrino mass matrix in the flavor basis: For charged leptons: D = 0



Often related to equality of neutrino masses

Discrete symmetries S_3 , D_4

A B B B C D B D C

Can this symmetry be extended to quark sector?

Are quarks and leptons fundamentally different?





P. F. Harrison D. H. Perkins W. G. Scott



 $sin^2\theta_{12} = 1/3$ in agreement with 0.315

In flavor basis... relation to masses? No analogy in the Quark sector? Implies non-abelian symmetry



$$U_{tbm} = U_{mag} U_{13}(\pi/4)$$

E. Ma

$$U_{mag} = \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^{2} \\ 1 & \omega^{2} & \omega \end{pmatrix} \quad \omega = \exp(-2i\pi/3)$$

tetrahedron

Symmetry:

symmetry group of even permutations of 4 elements

representations: <u>3</u>, <u>1</u>, <u>1'</u>, <u>1"</u>



Other possibilities:

T', D_4 , S_4 , $\Delta(3n^2$) ...



Extended higgs sector, Auxiliary symmetries, Flavor alignment, Extra dimensions?

Relation to masses? No analogy in the quark sector? Unification?



tbm: RGE $\theta_{13} < 10^{-3}$ for hierarchical nu $\rightarrow 0.1$ for degenerate nu





M₀ - can be the same for all fermions and whole difference of Q and L mixing and masses can come from corrections

Universality?



From the bottom & from the top



no simple relations between masses and mixing \rightarrow

can not be described in terms of a few parameters



 \rightarrow data look complicated

String theory * GUT * Existence of a number O(100) of singlets of SM and GUT * Discrete symmetries * Heavy vector-like families * Non-renormalizable interaction * Selection rules for interactions
 * Explicit violation of symmetry
 * Incomplete GUT multiplets How one can get from this complicated structure

simple pattern we observed at low energies?

 \rightarrow data look too simple



``String inspired..."

Non-abelian flavor (family symmetry) G with irreducible representation <u>3</u>

16_F ~ <u>3</u>

SO(10) 3 fermionic families in complete representations 16_{F,} 10_H, 16_H

No higher representations no $126_{\rm H}$

Heavy vector-like families of 10_F, 16_F

leads to Q-L difference

Singlet sector: several (many ?) singlets (fermionic and bosonic) of SO(10), S, additional symmetries

their mixing corrects masses of charged fermions

Non-renormalizable interactions

C. Hagedorn M. Schmidt A.S.



Extra Dimensions

New mechanism of generation of small Dirac masses: overlap suppression

Right-handed components of neutrinos have no SM interactions





wave functions

RH neutrinos propagate in the bulk

Small Dirac masses due to ``overlap suppression" Mass term: m f^L f^R + h.c.

If left and right components are localized differently in extra dimensions \rightarrow suppression:

 $m \varepsilon f^{L} f^{R} + h. c.$

amount of overlap in extra D

Arkani-Hamed, Dvali, Dimopoulos Large extra D + 3D brane

A Yu Smirnov



Grossman Neubert Huber, Shafi...



In Randall -Sundrum (non-factorizable metric)

Setting: 1 extra D S ¹/Z₂

RH neutrinos - bulk zero mode localized on the hidden brane

A Yu Smirnov



Arkani-Hamed, Schmaltz





A Yu Smirnov



Recent progress in neutrino physics and particle physics in general was related to discovery of neutrino mass and mixing. Consistent picture: interpretation of all * the results in terms of vacuum mass and mixing of three neutrinos.

Still unknown parameters: 1-3 mixing, hierarchy, CP phase \rightarrow future program of phenomenological and experimental studies

Strong difference of quark and lepton mixing patterns Data are well described by tri-bimaximal mixing or quark-lepton complementarity – with different implications Hints of special neutrino symmetry?
Theoretical interpretation: no unique and compelling interpretation and the answer may not be simple.

One should consider neutrinos in wider context (SUSY, GUT, extraD?), connect with other phenomena...

> It may happen that something important (in principles or context) is still missed

Input from high energy experiments (first of all LHC), from astrophysics and cosmology is crucial



project	baseline L	mean energy <e<sub>v></e<sub>	goal 90% C.L.	status
T2K: JPARC \rightarrow SuperKamiokande accelerator, off-a $\nu_{\mu} \rightarrow \nu_{\mu} \nu_{\mu} \rightarrow \nu_{e}$	295 km	0.7 GeV	sin²θ ₁₃ < 0.005 δ(Δm ₂₃ ²) ~ 0.0001 eV δ(sin²2θ ₂₃) ~ 0.01 Hierarchy ?	2009 start
NOvA Fermilab \rightarrow Ash River accelerator, off-a $v_{\mu} \rightarrow v_{\mu} v_{\mu} \rightarrow v_{e}$	810 km	2.2 GeV	sin²θ ₁₃ < 0.006 ∆m ₂₃ ² Hierarchy	2010 start ?
Double CHOOZ reactor $\overline{v_e} \rightarrow \overline{v_e}$	1.05 km	0.004 GeV	sin²θ ₁₃ < 0.005 - 0.008	2008 start - 2011
Daya Bay	Moving detectors	0.004 GeV	sin²θ ₁₃ < 0.002 - 0.003	2010



- determination of mass hierarchy
- 1-3 mixing
- deviation of 2-3 mixing from maximal (and quadrant)
- CP violation
- Earth tomography
- Icecube (1000 Mton)
- Underwater detectors NEMO, ANTARES
 - INO Indian Neutrino observatory
- HyperKamiokande

50 kton iron calorimenter

0.5 Megaton whater Cherenkov detectors

TITAND (Totally Immersible Tank Assaying Nuclear Decay) 2 Mt and more



Koide relation

$$\frac{m_e + m_{\mu} + m_{\tau}}{(\sqrt{m_e} + \sqrt{m_{\mu}} + \sqrt{m_{\tau}})^2} = 2/3$$

Y. Koide, Lett. Nuov. Cim. 34 (1982), 201

with accuracy 10⁻⁵

$$\tan \theta_{c} = \sqrt{3} \quad \frac{\sqrt{m_{\mu}} - \sqrt{m_{e}}}{2\sqrt{m_{\tau}} - \sqrt{m_{\mu}} - \sqrt{m_{e}}}$$

Both relations can be reproduced if

$$m_i = m_0 (z_i + z_0)^2$$

 $\Sigma_i z_i = 0$
 $z_0 = \sqrt{\Sigma_i z_i^2 / 3}$

all three families are substantially involved!

C A Brannen

Neutrinos, hierarchical spectrum



"<u>Soft" neutrino mass?</u>

Are neutrino masses usual?



Exchange by very light scalar $m_{\phi} \sim 10^{-8} - 10^{-6} eV$

in the context of MaVaN scenario

D B Kalplan, E. Nelson, N. Weiner , K. M. Zurek M. Cirelli, M.C. Gonzalez-Garcia, C. Pena-Garay V. Barger, P Huber, D. Marfatia

chirality flip true mass: $m_{soft} = \lambda_{v} \lambda_{f} n_{f} / m_{\phi} \qquad \lambda_{f} \sim \phi / M_{Pl}$ In the evolution equation: $m_{vac} \rightarrow m_{vac} + m_{soft}$ generated by some short range physics (interactions) EW scale VEV medium and energy dependent mass





- several (many?) singlets (fermionic and bosonic) of SO(10),
- additional symmetries (U(1), discrete) →
 hierarchy of masses and couplings with neutrinos from 16
 some singlets can be light sterile neutrinos

Due to symmetries only certain restricted subset is relevant for neutrino mass and mixing

Mixing of singlets with neutrinos (neutral components of 16). responsible for neutrino mass and mixing and strong difference of quark and lepton patterns

Easier realizations of symmetries



The EW-scale mechanisms of neutrino mass generation

New Higgs bosons at the EW scale

Zee, Babu-Zee radiative mechanisms

R-parity violation

Double charged bosons

New fermions?

HE scale: seesaw





W. Buchmuller, D. Wyler

Can RH neutrinos be detected at LHC?

Type-I: RH neutrinos- singlets of the SM symmetry group



Negligible unless strong cancellation occurs

- RH gauge bosons in LR models
- New higgs bosons

Type-III seesaw



Status: ``standard" model of neutrino mass and mixing – further confirmations/checks. Deviations?

ti-bimaximal mixing; possible presence of special leptonic (neutrino) symmetries Q-L complementarity: bi-maximal mixing and Q-L symmetry/unification



Real or accidental?

GUT + flavor (ultimate unification?): string inspired framework with extended singlet sector, non-renormalizable interactions?







Comments

NEMO: 100Mo

Cuoricino, CUORE: ¹³⁰Te

GERDA: ⁷⁶Ge

A. Strumia, F. Vissani



Hierarchy of masses:



Leptons

|m_c/m_t| ~ 0.005

 $|m_s/m_b| \sim 0.02 - 0.03$

 $|m_2/m_3| > 0.18$

Neutrinos

 $|m_{\mu}/m_{\tau}| = 0.06$

Neutrino mass hierarchy is the weakest one

$$m_{u}: m_{c}: m_{t} = \lambda^{4}: \lambda^{2}: 1$$
$$m_{d}: m_{s}: m_{b} \sim \lambda^{2}: \lambda: 1$$

Upper and down fermions have different mass hierarchies